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JC20 REC'D PCT/PTO 27 FEB 2002

2345/155

U.S. Application Serial No. 09/856,108

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : DULTZ et al.
International Application No. : PCT/EP99/08206
International Filing Date : October 29, 1999
U.S. Serial No. : 09/856,108
For : **ELECTRO-OPTICAL LIGHT
MODULATOR**

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: DO/EO/US

**TRANSMITTAL OF MISSING REQUIREMENTS
UNDER 35 U.S.C. 371**

S I R :

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (mailed on January 14, 2002), Applicants submit herewith a fully executed Declaration, in order to complete the filing requirements for the U.S. national phase of the above-identified PCT application. The application filed in the U.S. Patent and Trademark Office is the application which the inventor(s) executed by signing the Declaration and Power of Attorney. A copy of the Notification of Missing Requirements is enclosed.

03/08/2002 LLANDGRA 00000051 110600 09856108
01 FC:154 130.00 CH

Express Mail No: EL244507410US

The U.S. Patent and Trademark Office is authorized to charge the \$130.00 fee to cover the surcharge for late filing of the Declaration to Deposit Account No. 11-0600. The U.S. Patent and Trademark Office is also authorized, as appropriate and/or necessary, to charge any additional fees, including any additional Rule 136(a) extension fees, or credit any excess fees to Deposit Account No. 11-0600 of Kenyon & Kenyon.

Respectfully submitted,
KENYON & KENYON

(By: *Chuck J. Shudy*
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Date: *Feb. 27, 2002*

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FEB 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20221
 www.uspto.gov

U.S. APPLICATION NUMBER NO	FIRST NAMED APPLICANT	ATTY. DOCKET NO
09/856,108	Wolfgang Dultz	2345/155

INTERNATIONAL APPLICATION NO

PCT/EP99/08206

I.A. FILING DATE

PRIORITY DATE

10/29/1999

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CONFIRMATION NO. 3698

371 FORMALITIES LETTER



OC00000007303022

Date Mailed: 01/14/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination
- Substitute Specification

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

EL244507410US

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHRISTINE S WASHINGTON

Telephone: (703) 305-3752

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/856,108	PCT/EP99/08206	2345/155